

O/C

8th October 2014

To,
THE STOCK EXCHANGE
Dy. GENERAL MANAGER
PHIROZE JEEJEEBHOY TOWER
DALAL STREET, MUMBAI

Sir,

SUB: CLAUSE 35 OF THE LISTING AGREEMENT

Please find enclosed herewith Shareholding pattern under Clause 35 of the listing Agreement for the quarter ended 30th SEPTEMBER 2014

Thanking You,

FOR KOTHARI WORLD FINANCE LIMITED


DIRECTOR



KOTHARI WORLD FINANCE LTD.

C-121, Mittal Tower, Nariman Point, Mumbai - 400 021. (India) www.kothariworld.com
Tel. +91-22-22851620 • Fax: +91-22-22871695 • Email: info@kothariworld.com

CIN L65993MH1985PLC035005

(1)(a) Statement showing ShareHolding Pattern

Name of the Company :

Kothari World Finance Ltd.

Quarter Ended : 30-09-2014

Scrip Code : 511138

CIN 165993MH1985PLC035005
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KOTHARI WORLD FINANCE LTD.

Partly paid-up Shares	No. of Partly paid-up Shares	As a % of total No. of Partly paid-up Shares	As a % of Total no. of Shares of the Company
Held by Promoters/ Promoters Group	0		
Held by Public	0		
TOTAL - D	0		
Outstanding Conv. Securities	No. of Outstanding Securities	As a % of Total no. of O/S Conv. Securities	As a % of Total no. of shares of the Co., assuming full conv.
Held by Promoters/ Promoters Group	0		
Held by Public	0		
TOTAL - E	0		
Warrants :	No. of Warrants	As a % of Total no. of Warrants	As a % of Total no. of shares of the Co., assuming full conv.
Held by Promoters/ Promoters Group	0		
Held by Public	0		
TOTAL - F	0		
Total paid-up Capital of the Company assuming full conv. Of warrants & securities	7437500		

Quarter Ended : 30-09-2014

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Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered
					As a percentage of(A+B) ¹	As a percentage of (A+B+C)	
(A)	Shareholding of Promoter and Promoter Group ²						
1	Indian						
a	Individual/HUF	10	2121725	2121725	28.527	28.527	0
b	Central/State Gov	0	0	0	0.000	0.000	0
c	Bodies Corporates	19	2675620	2675620	35.975	35.975	0
d	Fins / Banks	0	0	0	0.000	0.000	0
e	Any Other specify	0	0	0	0.000	0.000	0
e-1		0	0	0	0.000	0.000	0
e-2		0	0	0	0.000	0.000	0
	Sub Total(A)(1)	29	4797345	4797345	64.502	64.502	0
2	Foreign						
a	Indy NRI/For Ind	0	0	0	0.000	0.000	0
b	Bodies Corporate	0	0	0	0.000	0.000	0
c	Institutions	0	0	0	0.000	0.000	0
d	Qualified For.Inv.	0	0	0	0.000	0.000	0
e	Any Other Specify	0	0	0	0.000	0.000	0
e-1		0	0	0	0.000	0.000	0
e-2		0	0	0	0.000	0.000	0
	Sub Total(A)(2)	0	0	0	0.000	0.000	0
	Total Shareholding of Promoter and Promoter Group Total (A)=(A)(1)+(A)(2)	29	4797345	4797345	64.502	64.502	0
(B)	Public shareholding						
1	Institutions						
a	Mutual Funds	0	0	0	0.000	0.000	
b	Fins / Banks	0	0	0	0.000	0.000	
c	Central/State Govt	0	0	0	0.000	0.000	
d	Venture Cap Fund	0	0	0	0.000	0.000	
e	Insurance Comp(s)	0	0	0	0.000	0.000	
f	Foreign Ins Invest	0	0	0	0.000	0.000	
g	Foreign Ven Cap In	0	0	0	0.000	0.000	

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Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of shares	As a % of Total No. of Shares
					As a percentage of (A+B) ⁱ	As a percentage of (A+B+C)		
h	Qualified For Inv.	0	0	0	0.000	0.000		
i	Any Other -Specify	0	0	0	0.000	0.000		
i-1		0	0	0	0.000	0.000		
i-2		0	0	0	0.000	0.000		
	Sub-Total (B)(1)	0	0	0	0.000	0.000		
B 2	Non-institutions							
a	Bodies Corporates	13	122071	122071	1.641	1.641		
b	Individuals	90	110205	93655	1.482	1.482		
	i) upto Rs 1-Lac	46	2382870	2382870	32.039	32.039		
	ii) above Rs1-Lac	0	0	0	0.000	0.000		
c	Qualified For Inv.	3	25009	25009	0.336	0.336		
d	Any Other -Cf-Mem	0	0	0	0.000	0.000		
d-1		0	0	0	0.000	0.000		
d-2		0	0	0	0.000	0.000		
	-OCB	0	0	0	0.000	0.000		
	-NRI	0	0	0	0.000	0.000		
	Sub-Total (B)(2)	152	2640155	2623605	35.498	35.498		
(B)	Total (B)=(B)(1)+(B)(2)	152	2640155	2623605	35.498	35.498		
	TOTAL (A)+(B)	181	7437500	7420950		100.000	0	0.000
(C)	Held by Custodians against Depository Receipts							
	PROMOTER & PRO GRP	0	0	0		0.000		
	PUBLIC	0	0	0		0.000		
	GRAND TOTAL (A)+(B)+(C)	181	7437500	7420950		100.000	0	0.000

[illegible]

(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Ref. No	Name of the shareholder	Total Shares held		Shares pledged or otherwise encumbered			Details of warrant		Details of convertible securities		Total shares (including shares assuming full conversion of warrant and convertible securities) as a % of diluted share capital
			Number	As a % of grand total (A)+(B)+(C)	Number	% of Total shares held	As a % of grand total (A)+(B)+(C)	Number of warrants held	As a % of total No of warrants of the same class	Number of convertible securities held	As a % of total No of convertible securities of the same class	
1	10654429	NITESH PRATAPRAI KOTHARI (HUF)	312500	4.202	0	0.000	0.000	0	0.000	0	0.000	4.202
2	10757599	LIZA NITESH KOTHARI	623650	8.385	0	0.000	0.000	0	0.000	0	0.000	8.385
3	10757628	NITESH PRATAPRAI KOTHARI	663575	9.191	0	0.000	0.000	0	0.000	0	0.000	9.191
4	10757644	KAUMUDI PRATAPRAI KOTHARI	369500	4.968	0	0.000	0.000	0	0.000	0	0.000	4.968
5	10758088	STEADY MERCANTILE CO PVT LTD	140000	1.882	0	0.000	0.000	0	0.000	0	0.000	1.882
6	10758101	D DAHYABHAI AND CO PVT LTD	960300	12.912	0	0.000	0.000	0	0.000	0	0.000	12.912
7	10771010	MITHANI AGRICULTURE PRIVATE LTD.	250200	3.364	0	0.000	0.000	0	0.000	0	0.000	3.364
8	10771028	ATAMDEEP ENGINEERS PVT LTD	30000	0.403	0	0.000	0.000	0	0.000	0	0.000	0.403
9	10771036	PARAS SILK MILLS PVT LTD	237450	3.193	0	0.000	0.000	0	0.000	0	0.000	3.193
10	10771044	VIP CONSTRUCTION P LTD	148070	1.991	0	0.000	0.000	0	0.000	0	0.000	1.991
11	10771386	AMITY AGRICULTURE PRIVATE LTD.	251600	3.383	0	0.000	0.000	0	0.000	0	0.000	3.383
12	10771394	MANSI ENGINEERS PVT LTD	56250	0.756	0	0.000	0.000	0	0.000	0	0.000	0.756
13	10771616	KAIKHUSROO MANEKJI MEHTA STONE	70500	0.948	0	0.000	0.000	0	0.000	0	0.000	0.948
14	10791122	INTERNATIONAL HEALTH CARE PRODUC	281250	3.782	0	0.000	0.000	0	0.000	0	0.000	3.782
15	11013384	SPECTRUM CAPITAL PRIVATE LIMITED	250000	3.361	0	0.000	0.000	0	0.000	0	0.000	3.361
16	13974918	NITESH PRATAPRAI KOTHARI (HUF)	132500	1.782	0	0.000	0.000	0	0.000	0	0.000	1.782
		Total Promoter & Promoter Group	4797345	64.502	0	0.000	0.000	0	0.000	0	0.000	64.503

KOTIHARI WORLD FINANCE LTD.

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(I)(c)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares				

[illegible]

(I)(d) Statement showing details of locked-in shares

Sr. No.	Ref- Num	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter/Promoter Group/Public
1	10771386	AMITY AGRICULTURE PRIVATE LTD.	93750	1.261	-Pro
2	10758101	D DAHYABHAI AND CO PVT LTD	304688	4.097	-Pro
3	10791122	INTERNATIONAL HEALTH CARE PRO	105469	1.418	-Pro
4	10771616	KAIKHUSROO MANEKJI MEHTA STON	23438	0.315	-Pro
5	10757644	KAUMUDI PRATAPRAI KOTHARI	112500	1.513	-Pro
6	10757599	LIZA NITESH KOTHARI	187500	2.521	-Pro
7	10771394	MANSI ENGINEERS PVT LTD	21094	0.284	-Pro
8	10771010	MITHANI AGRICULTURE PRIVATE LTD.	93750	1.261	-Pro
9	10654429	NITESH PRATAPRAI KOTHARI (HUF)	117188	1.576	-Pro
10	10757628	NITESH PRATAPRAI KOTHARI	234375	3.151	-Pro
11	13974918	NITESH PRATAPRAI KOTHARI (HUF)	30469	0.410	-Pro
12	10771036	PARAS SILK MILLS PVT LTD	82032	1.103	-Pro
13	11013384	SPECTRUM CAPITAL PRIVATE LIMITED	93750	1.261	-Pro
		Total Locked in Shares	1500003	20.168	

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(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Ref-Num	Name of theHolder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
			NIL			

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(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by Promoter/Promoter Group are in excess of 1% of the total number of shares

Sr. No.	Ref-Num	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
		NIL				

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